

**Schedule F of  
Form ADV  
Continuation Sheet for Form ADV Part II**

Adviser: Signature Resources Capital Management, LLC	SEC File Number: 801-67988	Date: 09/16/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Adviser exactly as stated in Item 1A of Part I of Form ADV: Signature Resources Capital Management, LLC	IRS Empl. Ident. No.: 20-8903071
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<b>Item 1.D</b>	<p><b>ASSET MANAGEMENT SERVICES</b></p> <p>Signature Resources Capital Management, LLC (“the Adviser”) provides investment advisory services to its clients on either a limited discretionary basis or a non-discretionary basis, depending on the needs of each client. The advisory services include, among other things, providing advice regarding asset allocation and/or the selection of investments. The Adviser offers various asset allocation models that range from capital preservation to aggressive growth. Each model is designed to diversify a client’s assets and constructed using different investment products, including non-affiliated mutual funds. Account management or supervision is guided by the stated investment objectives and any restrictions of the client. In addition, the Adviser considers the client’s risk profile and financial status prior to making any recommendations or investments. Clients are responsible for informing the Adviser of any changes to his/her investment objectives, risk profile, financial status and/or restrictions. In addition, the Adviser does not assume any responsibility for the accuracy of the information provided by the client.</p> <p>If a client’s account is a pension or other employee benefit plan governed by the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), the Adviser acknowledges that it is a fiduciary to the plan under Section 3(38) of ERISA. In providing its services, the sole standard of care imposed upon the Adviser is to act with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent man acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.</p> <p><b>Management Fees</b></p> <p>The Adviser is compensated for investment management or supervisory services annually, based on clients’ assets under management. Annual fees are billed quarterly in advance and are negotiable. In certain circumstances, the Adviser may waive investment management fees. Fees are due on the first day of each calendar quarter and are based on the account’s asset value as of the last business day of the prior calendar quarter. Fees are prorated for accounts opened during any calendar quarter and are also adjusted in the event of net contributions or withdrawals made during any calendar quarter. The Adviser’s basic annual fee schedule is as follows:</p> <table style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="3">Annual Fees</th> </tr> <tr> <th>From</th> <th>To</th> <th>Per Year</th> </tr> </thead> <tbody> <tr> <td></td> <td>Up to \$249,999</td> <td>1.75%</td> </tr> <tr> <td>\$250,000</td> <td>\$499,999</td> <td>1.50%</td> </tr> <tr> <td>\$500,000</td> <td>\$749,999</td> <td>1.25%</td> </tr> <tr> <td>\$750,000</td> <td>\$999,999</td> <td>1.00%</td> </tr> <tr> <td>Over \$1,000,000</td> <td></td> <td>Negotiable</td> </tr> </tbody> </table> <p>The Adviser reserves the right to change the annual fee schedule listed above at any time. The Adviser may also, in certain circumstances, render advice and consultation on an hourly basis, at the rate of \$350 per hour, or on a negotiated “flat fee” basis, with the client’s prior written consent. In such situations, those fees would be paid in addition to the Annual Fee listed above, but in no event will the Adviser’s total compensation paid by the client exceed three percent (3%) of the client’s total assets under management.</p> <p>Actual management fees charged to a client are set forth in the Adviser’s investment advisory agreement executed with each client. Unless arranged otherwise, a client’s custodian will pay the Adviser its quarterly</p>	Annual Fees			From	To	Per Year		Up to \$249,999	1.75%	\$250,000	\$499,999	1.50%	\$500,000	\$749,999	1.25%	\$750,000	\$999,999	1.00%	Over \$1,000,000		Negotiable
Annual Fees																						
From	To	Per Year																				
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<b>Item 1.D (cont.)</b>	<p>fee directly from the assets in the client's account, as authorized in the executed investment advisory agreement.</p> <p><b>Termination of Investment Advisory Agreement</b></p> <p>An advisory client will have a period of five (5) business days from the date of signing the investment advisory agreement to unconditionally rescind the agreement and receive a full refund of all fees. Thereafter, either party may terminate the investment advisory agreement with thirty (30) days written notice. Upon termination, fees will be prorated to the date of termination and the unearned portion of the fee will be refunded to the client.</p> <p><b>Other Fees and Charges</b></p> <p>Clients should understand that the management fees described above do not include custodian fees. The account custodian may charge fees, which are in addition to and separate from the Adviser's fee. Custodians may also charge accounts for various transaction costs, commissions, transfer and/or retirement plan and administration fees. In addition, some mutual fund assets deposited in the account may have been subject to and 12 (b)(1) fees and other mutual fund annual expenses as described in each fund's prospectus. Advisory clients should also note that fees for comparable services vary and lower fees for comparable services may be available from other sources.</p> <p><b>Third Party Advisers</b></p> <p>The Adviser has entered into agreements with various third-party advisers. Under these agreements, the Adviser offers clients various types of programs sponsored by these advisers. All third-party investment advisers to whom the Adviser will refer clients will be licensed as investment advisers by their resident state and any applicable jurisdictions or registered investment advisers with the Securities and Exchange Commission.</p> <p>After gathering information about a client's financial situation and investment objectives, the Adviser will assist the client in selecting a particular third-party program. The Adviser receives compensation pursuant to its agreements with these third-party advisers for introducing clients to these third-party advisers and for certain ongoing services provided to clients.</p> <p>This compensation is disclosed to the client in a separate disclosure document and is typically equal to a percentage of the investment advisory fee charged by that third-party adviser or a fixed fee, but may also be payable in other formats. The separate disclosure document provided by the Adviser will clearly state the nature of fees payable to the Adviser. Also disclosed in the separate disclosure document will be the impact to the overall fee of payments made to the parties to the agreement.</p> <p>Since compensation the Adviser receives may differ depending on the agreement with each third-party adviser, the Adviser may have an incentive to recommend one third-party advisers over another, if the compensation arrangements are more favorable. Since the independent third-party adviser may pay the fee for the investment advisory services of the Adviser, the fee paid to the Adviser is not negotiable, under most circumstances.</p> <p>Fees paid by clients to independent third-parties are established and payable in accordance with the Form ADV Part II or other equivalent disclosure document of each independent third-party adviser to whom the Adviser refers its clients, and may or may not be negotiable, as disclosed in the disclosure documents of the third-party</p>

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<b>Item 1.D (cont.)</b>	<p>adviser.</p> <p>Clients who are referred to third-party investment advisers will receive full disclosure, including services rendered and fee schedules, at the time of the referral, by delivery of a copy of the relevant third-party adviser's Form ADV Part II or equivalent disclosure document at the same time as the Form ADV Part II or equivalent disclosure document of the Adviser.</p> <p>In addition, if the investment program recommended to a client is a wrap fee program the client will also receive the Schedule H or equivalent wrap fee brochure provided by the sponsor of the program. The Adviser will provide to each client all appropriate disclosure statements, including disclosure of solicitation fees to the Adviser and its advisory associates.</p> <p><b>Financial Planning &amp; Consulting Services</b></p> <p>The Adviser also offers financial planning and consulting services to clients consistent with clients' financial and tax status, risk profile and return objectives. The Adviser may also provide general non-securities advice on topics that may include tax and budgetary planning, estate planning and business planning.</p> <p>When (multiple services) both investment management or plan implementation and financial planning services are offered, there is a potential conflict of interest since there is an incentive for the party offering financial planning services to recommend products or services for which the Adviser, or a related party, may receive compensation. However, financial planning clients are under no obligation to act upon any recommendations of the Adviser or to effect any transactions through the Adviser if they decide to follow the recommendations.</p> <p><b>Financial Planning &amp; Consulting Service Fees</b></p> <p>Clients are charged either an hourly or fixed fee for financial planning and consulting services. Fees are based on the complexity of the plan or project and the range of services provided. Hourly fees generally range from \$100 to \$250 an hour and fixed fees generally range from \$500 to \$10,000. Rates are negotiable and the Adviser reserves the right to change these fees at anytime.</p> <p>Clients may pay for the planning services provided by Adviser in one of three ways:</p> <p>a. <b>Hourly Fees.</b> Clients pay hourly fees for all services rendered by Adviser under the Financial Planning Agreement, to be paid within a set number of days of Adviser's submission of invoices to the client. Adviser may bill time on a monthly basis or upon completion of specific services by Adviser, as Adviser determines appropriate.</p> <p>b. <b>Project Fees.</b> Clients pay a fixed fee for Adviser's services under the Financial Planning Agreement. An initial retainer of 50% of the estimated fee will be delivered by the client to Adviser upon execution of the Financial Planning Agreement, and the balance will be paid by the client upon completion of Adviser's services as specified in the Financial Planning Agreement.</p> <p>c. <b>Annual Retainer Fees.</b> Based upon the scope of work to be performed and the nature of ongoing consulting desired and can be updated annually, this approach is recommended where the nature of the planning work is complex and the client has multiple financial concerns requiring regular advice and guidance. The annual retainer fee is payable quarterly in arrears.</p>

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<b>Item 1.D (cont.)</b>	<p><b>Termination of Financial Planning and Consulting Agreement</b></p> <p>This agreement may be terminated at any time by either party by written notice to the other party of such termination. To ensure clear communication, a termination notice by a client shall be effective upon receipt by the Adviser. Clients will be liable for any fees earned to the date of termination and/or for the expenses incurred by the Adviser. Any pre-paid but unearned fees will be promptly refunded to the Clients. In the case of an hourly or project fee arrangement, the fee will be based on Adviser's hourly rate. In the case of an annual retainer fee arrangement, the fee will be calculated pro-rata based on the effective date of termination. Such termination shall not; however, affect liabilities or obligations incurred or arising from transactions initiated under this Agreement prior to such termination.</p> <p><b>Proxy Voting Policy</b></p> <p>The Adviser's policy is to not vote proxies on behalf of its clients and therefore, shall have no obligation or authority to take any action or render any advice with respect to the voting of proxies solicited by or with respect to issuers of securities held in a client's account. The obligation to vote client proxies shall, at all times, rest with the client. The Adviser shall not be deemed to have proxy voting authority solely as a result of providing advice or information about a particular proxy vote to a client. For accounts subject to ERISA, the plan fiduciary is responsible for voting proxies, which authority is outlined and evidenced by the plan fiduciary's signature on the investment advisory agreement with the Adviser.</p> <p>Proxies issued on securities held by a registered investment company (i.e. mutual fund) are generally voted by the investment adviser that manages the assets of the mutual fund.</p> <p><b>Class Action Filings</b></p> <p>A securities "class action" lawsuit is a civil suit brought by one or more individuals ("Plaintiffs") on behalf of themselves and others who have the same grievance against the issuer of a certain security. When a class action is filed, a written notice of filing and/or settlement is prepared (the "Notice"), which outlines the reasons for the lawsuit, the parameters for qualification as a member of the class and certain legal rights that need to be considered before becoming a member of the class (i.e. participating in the settlement). In addition, the Notice will contain instructions issued by the court o broker/dealers and/or other nominees (e.g. custodians) who receive the Notice and who hold the security on behalf of the owner/beneficiary, to either (1) provide the Claims Administrator (usually the attorney for the Plaintiffs) with the name and address of each such owner/beneficiary so the Claims Administrator can send the Notice directly to such owner/beneficiary, or (2) request additional copies of the Notice and send the Notice directly to the owner/beneficiary.</p> <p>In some cases, in addition to the owner/beneficiary, the Adviser may also receive notification of a class action. Since, as described above, the broker/dealer, nominee or Claims Administrator is responsible for sending the Notice to the owner/beneficiary of the security, and the Adviser does not hold securities on behalf of its clients, the Adviser does not send any additional notification to its clients. Because each class action involves certain legal rights that must be considered by the owner/beneficiary of the security before becoming a member of the class, the Adviser cannot instruct, or give advice to its clients on whether or not to participate as a member of the class and will not automatically file claims on the client's behalf. However, if a client notifies the Adviser that they wish to participate in a class action, the Adviser will provide the client with any transaction information pertaining to the client's account with the Adviser that may be needed in order for the client to file a proof of claim in a class action.</p>

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**Item 5 EDUCATION & BUSINESS STANDARDS**

The Adviser generally requires that individuals involved in determining or giving investment advice have at least two years of financial planning, advisory, or brokerage related experience or educational achievements that the Adviser deems appropriate. The Adviser requires that each associate have licenses required in any applicable state.

**Item 6 EDUCATION & BUSINESS BACKGROUND**

**Gregory Kaltenbach** was born in 1971

University of Southern California, Bachelor of Arts, Political Science, 1994  
Signature Resources Capital Management, LLC, Managing Member 5/2007 to Present  
Coldbrooke Financial Services, Inc., Insurance Agent, 02/2006 to Present  
Signature Resources, Insurance & Financial Services, Inc., President 10/1995 to Present  
Signator Investors, Inc., Registered Rep., 7/1995 to Present  
Signator Investors, Inc., Investment Adviser Rep., 7/1995 to Present  
John Hancock Mutual Life Insurance Company, Registered Rep, 10/1995 to 5/1997

**Geoffrey Kaltenbach** was born in 1969

University of Southern California, Bachelor of Arts, English, 2006  
Signature Resources Capital Management, LLC, Managing Member, 5/2007 to Present  
Signature Resources, Insurance & Financial Services, Inc., President, 4/1991 to Present  
Signator Investors, Inc., Registered Rep., 4/1996 to Present  
Signator Investors, Inc., Investment Adviser Rep., 7/1995 to Present  
John Hancock Mutual Life Insurance Company, Registered Rep., 4/1996 to 5/1997

**Gary Kaltenbach** was born in 1941

University of Pittsburg, Bachelor of Arts, Political Science, 1963  
Signature Resources Capital Management, LLC, Managing Member, 5/2007 to Present  
VisionLink Compensation Group, President, 01/2004 to Present  
Signator Investors, Inc., Registered Rep., 4/1996 to Present  
Signator Investors, Inc., Investment Adviser Rep., 7/1995 to Present  
Coldbrooke Financial Services, Inc., President, 1/1998 to Present  
Signature Resources, Insurance & Financial Services, Inc., Chairman, 12/2001 to Present  
John Hancock Life Insurance Company, Registered Rep, 5/1983 to Present  
Signature Resources, Inc., President, 08/1982 to Present  
Signature Benefit Insurance Services, Inc., President, 11/1980 to Present

**Morgan Christen, CFA, CFP** was born in 1971

University of Southern California, Bachelor of Science, Finance, 1993  
Pepperdine University, Masters of Business Administration, Finance, 2006  
Signature Resources Capital Management, LLC, Member, Portfolio Manager & CCO, 5/2007 to Present  
Signature Resources, Insurance & Financial Services, Inc., VP Investments, 12/2006 - Present  
Signator Investors, Inc., Registered Rep., 12/2006 to Present  
Charterwest Consulting, Inc., President, 5/2003 to 12/2006  
Dolphin Securities, Inc., Vice President, 10/1996 to 12/2006

**Christopher Whalen** was born in 1976

Arizona State University, Tempe, AZ, Bachelor of Science, History 1998

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<b>Item 6 (cont.)</b>	<p>Western Illinois University, McComb, IL, Business Law, 06/1994 to 05/1995. Signature Resources Capital Management, LLC, Investment Adviser Representative, 08/2009 to Present Signator Investors, Inc., Registered Representative 03/1999 to Present John Hancock Mutual Life Insurance Company, Agent, 03/1999 to Present</p> <p><b>Daniel Cucuiat</b> was born in 1966 University of Redlands, Redlands, CA, Bachelor of Science, Business Management, 2006 Signature Resources Capital Management, LLC, Investment Adviser Representative, 08/2009 to Present Signator Investors, Inc., Registered Representative, 12/2006 to Present AXA Advisors, Registered Representative, 04/2006 to 11/2006 C7 Appraisal, Owner Appraiser, 06/1993 to 04/2006 First American Lending, Loan Officer, 03/2000 to 01/2004</p>
<b>Item 7 (A &amp; B) &amp; 8.C. (1, 3 &amp; 9)</b>	<p><b>ACTIVITIES &amp; AFFILIATIONS</b></p> <p>Associated persons of Adviser are also registered securities representatives and investment advisory representatives of Signator Investors, Inc. a non-affiliated dually registered broker-dealer and investment advisory firm and a member of the Financial Industry Regulation Authority ("FINRA"), (Please refer to Item 6 above, for detailed information). Each associated person spends approximately 1% of their time in providing services to Signator investment advisory clients. Morgan Christen spends approximately 3% of his time providing services to Signator brokerage clients. Gregory Kaltenbach, Geoffrey Kaltenbach, and Gary Kaltenbach spend approximately 2% of their time providing services to Signator brokerage clients. Gary Kaltenbach is the President and owns 100% of Coldbrooke Financial Services, Inc., an affiliated FINRA registered broker-dealer and California licensed insurance agency. Gary Kaltenbach is the President and owns 100% of Signature Resources, Inc, and Signature Benefit Insurance Services, Inc., both affiliated California licensed insurance agencies. Gary devotes approximately 10% of his time to this business. Gary, Gregory, and Geoffrey Kaltenbach are officers and owners of Signature Resources Insurance &amp; Financial Services, Inc., a California licensed insurance agency. In addition, some associated persons of the Adviser are also insurance agents/brokers of various insurance companies (Please refer to Item 6 above, for detailed information.). In providing insurance services, Morgan Christen spends approximately 5% of his time, Gregory Kaltenbach spends approximately 30% of his time, Geoffrey Kaltenbach spends approximately 20% of his time and Gary Kaltenbach spends approximately 10% of his time. Gary Kaltenbach is the President and owner of VisionLink Compensation Group, which is an inactive strategic compensation development and management company. Gary Kaltenbach devotes approximately 0% of his time to this business.</p> <p>In their capacities as registered reps, investment adviser reps and insurance agents/brokers, the associated persons of the Adviser may recommend securities, insurance, advisory, or other products to the firm's clients, and receive normal commissions if products are purchased through any firms with which any associated persons are affiliated. Thus, a conflict of interest exists between the interests of associated persons and those of the advisory clients. However, as outlined in item 1.D above, clients are under no obligation to act upon any recommendations of the associated persons or effect any transactions through the associated persons if they decide to follow the recommendations. These associated persons also receive compensation, commissions and/or trailing 12b-1 fees from Signator Investors, Inc. for services provided to Signator's brokerage and/or investment advisory clients.</p>
<b>Item 9</b>	<p><b>PARTICIPATION IN CLIENT TRANSACTIONS</b></p> <p>Code of Ethics The Adviser has adopted a Code of Ethics for the purpose of instructing its personnel in their ethical</p>

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<b>Item 9 (cont.)</b>	<p>obligations and to provide rules for their personal securities transactions. The Adviser and its personnel owe a duty of loyalty, fairness and good faith towards their clients, and the obligation to adhere not only to the specific provisions of the Code but to the general principles that guide the Code, in addition to all applicable federal securities laws and regulations.</p> <p>The Code covers a range of topics that may include: general ethical principles, reporting personal securities trading, exceptions to reporting securities trading, reportable securities, initial public offerings and private placements, reporting ethical violations, insider trading, distribution of the Code, review and enforcement processes and supervisory procedures. The Adviser will provide a copy of the Code to any client or prospective client upon request. Copies can be requested at the address or phone number listed on page 1 of this Form ADV Part II.</p> <p>In their capacity as registered representatives, associates of the Adviser may receive payments from certain mutual funds distributed pursuant to a 12b-1 distribution plan or other such plans as compensation for administrative services, representing a separate financial interest. As such, a conflict of interest may exist with respect to recommendations to buy or sell securities. In all cases, transactions are effected in the best interests of the client.</p> <p>Associated persons are made aware of the rules regarding material non-public information and insider trading. The Adviser does not permit insider trading and has implemented procedures to ensure that its policy regarding insider trading is being adhered to by associated persons.</p> <p>Associated persons may own an interest in or from time to time buy or sell for their personal accounts the same securities, which may be purchased or sold in the accounts of advisory clients. In such cases, associated persons must follow certain procedures outlined in the Adviser's Code to help ensure that they do not personally benefit from the short-term market effects of their recommendations to clients. In addition, their personal transactions are regularly monitored by an executive of the Adviser. Associated persons may also buy or sell specific securities for their accounts based on personal investment considerations, which the Adviser does not deem appropriate to buy or sell for clients.</p> <p><b>Allocation and Aggregation of Trades</b></p> <p>In placing client transactions to purchase or sell securities in accounts, principals of the firm may, from time to time, deem it in the best interest of clients to aggregate transactions and place as a block trade. In so doing, the Adviser will not aggregate transactions unless aggregation is consistent with its duty to seek best execution and the purchase or sell is in accordance with each participating client's investment objectives. Some accounts participating in aggregated transactions, may include accounts of the Adviser's associated persons. When these accounts are included, they will participate in the transaction and share in the costs the same as any other client and will not be favored over any other client account.</p> <p>Each advisory client that participates in an aggregated transaction will participate at the average share price for all Adviser's transactions in that security on that day, with transaction costs shared pro-rata, where feasible, based on each client's participation in the transaction. The Adviser will prepare, before entering an aggregated order, a written statement ("Allocation Statement") specifying the participating client accounts and how it intends to allocate the shares among those clients.</p> <p>If the aggregated transaction is filled in its entirety, it will be allocated among clients in accordance with the Allocation Statement; if the transaction is partially filled, it will be allocated pro-rata based on the Allocation Statement; notwithstanding the foregoing, the transaction may be allocated on a basis different from that</p>

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<b>Item 10</b>	<p><b>CONDITIONS FOR MANAGING ACCOUNTS</b></p> <p>The Adviser requires a minimum of \$100,000 to establish a new advisory account; however, the minimum may be waived at the sole discretion of the Adviser. In addition, the Adviser may continue to service existing accounts that have values that are below the minimum.</p> <p>There may be times when certain restrictions are placed by the client, which prevents the Adviser from accepting or continuing to manage the account. The Adviser reserves the right to not accept and/or terminate management of a client's account if it feels that the client imposed restrictions would limit or prevent it from meeting and/or maintaining its investment strategy.</p> <p>Prior to entering into an agreement with the Adviser, the client should carefully consider: 1) committing to management only those assets that the client believes will not be needed for current purposes and that can be invested on a long-term basis, usually a minimum of five to seven years, 2) that volatility from investing in the stock market can occur, and 3) that over time the client's assets may fluctuate and at anytime be worth more or less than the amount invested.</p>
<b>Item 11</b>	<p><b>ACCOUNT REVIEWS AND STATEMENTS</b></p> <p>Advisory associates perform reviews of all investment advisory accounts no less than quarterly. Accounts are reviewed for consistency with the investment strategy, client's investment objectives and performance, among other things. Reviews may be triggered by, among other things, changes in an account holder's personal, tax or financial status, certain additions, withdrawals and/or market factors. Macroeconomic and company specific events may also trigger reviews. There is currently no limit on the number of accounts that can be reviewed by an associate. Financial plans are reviewed only upon request unless the Adviser is retained to update the plan on a continuous basis.</p> <p>Account statements are generated no less than quarterly and are sent directly from the client's custodian. These reports list the account positions, activity in the account over the covered period, including advisory fees paid to the Adviser and other related information. Clients are also sent confirmations by the broker following each brokerage account transaction unless confirmations have been waived. In addition, the Adviser sends quarterly account statements to all advisory clients, reflecting current holdings and asset allocation.</p>

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<b>Item 12.A &amp; B</b>	<p><b>INVESTMENT AND BROKERAGE DISCRETION</b></p> <p>Under certain limited circumstances the Adviser may be granted the discretionary authority to select, without consultation with its clients on a transaction-by-transaction basis: 1) which securities to be bought and sold for the account, and 2) the amount of securities to be bought or sold.</p> <p>See Item 9 above regarding Allocation and Aggregation of Trades.</p> <p>Clients may choose a specific custodian for the account assets and a broker to execute trades in their account or if requested by the client, the Adviser will provide recommendations to clients regarding third-party custodian/brokers for the custody of the client's cash and/or securities ("Custodial Brokers"). Factors considered by the Adviser in the recommendation of a Custodial Broker are dependent upon, but not limited to, the reasonableness of their custodial fees, commissions, product availability, research and other services available to both the client and the Adviser (Please refer to item 13A below for additional information). The Adviser will attempt to obtain any and all services available from the Custodial Broker it deals with, including use of portfolio management software to enable the download of client account information and transactions.</p> <p>In addition, Associated persons of the Adviser that are also registered representatives of Signator Investors, Inc. ("Signator") may suggest under certain circumstances, that a client implement recommendations made by Adviser through Signator in its capacity as a broker-dealer. This may be the case if a client's investment advisory account is custodied at Signator Investors, Inc. If the client so elects, Associated persons would receive normal and customary commissions from Signator for each trade recommendation executed by Signator.</p> <p>Before selecting a Custodial Broker, including Signator, for an investment advisory account, clients should thoroughly consider the differences between having their assets held at a broker-dealer vs. at a bank or trust company. Some of these differences include, but are not limited to, custodian fees, commission costs, trading issues, security of assets, client reporting and technology.</p> <p>When implementing a financial plan through firms such as Signator that maintain relationships with Associated persons of the Adviser, clients should understand that they may pay commissions or fees that are higher or lower than those that may be obtained from elsewhere for similar services. Therefore, clients are advised that they are under no obligation to implement the financial plan or the Adviser's recommendations through such firms.</p> <p><b>Directed Brokerage</b></p> <p>In circumstances where the Adviser is required to execute transactions through a specific broker (aka "Directed Brokerage"), the client should understand that: (1) the Adviser will not negotiate specific brokerage commission rates with the broker on client's behalf, or seek better execution services or prices from other broker/dealers and, as a result, the client may pay higher commissions and/or receive less favorable net prices on transactions for their account than might otherwise be the case, (2) transactions for each account generally will be effected independently unless the Adviser decides to purchase or sell the same security for several clients at approximately the same time, in which case the Adviser may "batch" a client's transaction with that of other clients for execution by the same broker. However, if trades are not able to be batched, the Adviser may have to enter trade orders for the client's account after orders for other clients, with the result that market movements may work against the client, and (3) conflicts may arise between the client's interest in receiving best execution with respect to transactions effected for the account and the Registrant's interest in potentially receiving future client referrals from the broker.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of  
Form ADV  
Continuation Sheet for Form ADV Part II**

Adviser: Signature Resources Capital Management, LLC	SEC File Number: 801-67988	Date: 09/16/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Adviser exactly as stated in Item 1A of Part I of Form ADV: Signature Resources Capital Management, LLC	IRS Empl. Ident. No.: 20-8903071
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Item of Form (identify)	Answer
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<p><b>Item 12.A &amp; B (cont.)</b></p>	<p><b>Cross Transactions</b></p> <p>If the Adviser feels it is in the best interest of certain clients, the Adviser may effect an internal cross transaction of securities between clients (other than ERISA clients). The Adviser acknowledges its duty to seek best execution for its clients and acknowledges that the use of internal cross transactions may raise potential conflicts of interest under the antifraud provisions of the Investment Advisers Act of 1940, Section 206(3) and Section 206 (4). Therefore, internal cross transactions will only be considered when the need to liquidate securities results in an availability of securities that are appropriate for another account. The Adviser prohibits the need to purchase securities as the sole reason for identifying sale candidates. The Adviser also prohibits the need to sell an issue as the sole reason for purchase of such by another client. If the Adviser does effect an internal cross transaction, the Adviser will not act either as principal or agent through a broker-dealer or otherwise receive commissions or any type of compensation for effecting internal cross transactions. The Adviser's sole intent for doing an internal cross transaction will be to act in the best interest of each client in accordance with their respective investment objectives. Internal cross transactions will only be used when it is of conspicuous advantage to both accounts in the absence of appropriate and comparable alternatives. The Adviser will obtain independent prices for these securities from broker-dealers. Cross trades between clients are normally priced at the mid-point between the best bid and offer prices known to be available at the relevant size order.</p> <p><b>Soft Dollar Considerations</b></p> <p>Subject to the policy of seeking best execution for transactions, and also subject to the criteria of Section 28(e) of the Securities and Exchange Act of 1934 ("Section 28(e)"), the Adviser may, in circumstances where the Adviser has brokerage discretion and in which execution is comparable, place trades with a broker that is providing brokerage and research services to the Adviser ("Research Broker"). Brokerage and research services provided by Research Brokers may include, among other things, effecting securities transactions and performing services incidental thereto (such as clearance, settlement and custody) and providing information regarding the economy, industries, sectors of securities, individual companies, statistical information, taxation; political developments, legal developments, technical market action, pricing and appraisal services, credit analysis; risk measurement analysis and performance analysis. Such research services can be received in the form of written reports, telephone conversations, personal meetings with security analysts and/or individual company management, and attending conferences. The research services provided by a Research Broker may be proprietary and/or provided by a third party (i.e. originates from a party independent from the broker provided the execution services).</p> <p>In selecting a Research Broker, the Adviser will make a good faith determination that the amount of the commission charged is reasonable in relation to the value of the brokerage and research services received, viewed in terms of either the specific transactions or the Adviser's overall responsibility to the accounts for which it exercises investment discretion. Subject to Section 28(e), the Adviser may pay a Research Broker a brokerage commission in excess of that which another broker might have charged for effecting the same transaction, in recognition of the value of the brokerage and/or research services provided by the broker. This practice is commonly referred to as "soft dollars". The Adviser believes it is imperative to its investment decision-making process to have access to this type of research and brokerage.</p> <p>Research services provided by Research Brokers may be used by the Adviser in servicing any or all of the Adviser's clients, and may be used in connection with clients other than those making the payment of commissions to a Research Broker, as permitted by Section 28(e). In other words, there may be certain client accounts that benefit from the research services, which did not make the payment of commissions to the Research Broker providing the services. The receipt of brokerage and research services from any broker</p>
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**Schedule F of  
Form ADV  
Continuation Sheet for Form ADV Part II**

Adviser: Signature Resources Capital Management, LLC	SEC File Number: 801-67988	Date: 09/16/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

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Item of Form (identify)	Answer
<p><b>Item 12.A &amp; B (cont.)</b></p>	<p>executing transactions for the Adviser's clients will not result in a reduction of the Adviser's customary and normal research activities, and the value of such information is, in the Adviser's view, indeterminable. Nevertheless, the receipt of such research may be deemed to be the receipt of an economic benefit by the Adviser, and although customary, may be deemed to create a conflict of interest between the Adviser and its clients. Therefore, the Adviser feels it is important for clients to be aware of the issues surrounding "soft dollars".</p> <p>There may be cases when the Adviser may receive both non-research (e.g. administrative or accounting services etc.) and research benefits from the services provided by the Research Brokers. If and when this happens, the Adviser will make a good faith allocation between the non-research and research portion of the services received, and will pay "hard dollars" (i.e. the Adviser will pay from their own monies) for the non-research portion. In making a good faith allocation between research services and non-research services, a conflict of interest may exist by reason of the Adviser's allocation of the costs of such services and benefits between those that primarily benefit the Adviser and those that primarily benefit clients. The Adviser will always put the client's interests first.</p> <p>Currently, the Adviser does not have any soft dollar arrangements in place. However, the Adviser does receive certain additional benefits by Custodial Brokers as described below.</p>
<p><b>Item 13.A &amp; B</b></p>	<p><b>ADDITIONAL COMPENSATION</b></p> <p>Some Custodial Brokers may provide the Adviser with access to their institutional trading and custody services, which are typically not available to retail investors. These services are generally available to independent investment advisors on an unsolicited basis, at no charge to them so long the adviser maintains a minimum amount of its clients' assets in accounts with the Custodial Broker. Some of the services provided by Custodial Brokers also include brokerage, custody, research, and access to certain mutual funds and other investments that may not otherwise be available to non-institutional investors or would require a significantly higher minimum initial investment. In some cases, the Custodial Broker does not charge separately for custody but is compensated by account holders through commissions or other transaction-related fees for security trades that are executed through the Custodial Broker. The Custodial Broker may also make available to the Adviser other products and services that benefit the Adviser but may not benefit its clients' accounts. Some of these other products and services may assist the Adviser in managing and administering clients' accounts. These may include software and other technology that provide access to client account data (such as trade confirmations and account statements), facilitation of trade execution (and allocation of aggregated trade orders for multiple client accounts), providing research pricing information and other market data and assisting with back-office functions, recordkeeping and client reporting. Many of these services may be used to service all or a substantial number of the Adviser's accounts, including accounts not maintained at the Custodial Broker providing the services. The Custodial Broker may also make available to the Adviser other services intended to help the Adviser manage and further develop its business enterprise. These services may include consulting, publications and conferences on practice management, information technology, business succession, regulatory compliance, and marketing. In addition, the Custodial Broker may make available, arrange and/or pay for these types of services rendered to the Adviser by other independent third parties. While as a fiduciary, the Adviser endeavors to act in its clients' best interests, the Adviser's recommendation that its clients maintain their assets in accounts at a certain Custodial Broker may be based in part on the benefit to the Adviser of the availability of some of the foregoing products and services.</p> <p>In addition, due to the fact that the Adviser does not directly pay for these services, including any research received, it may be construed as receipt of an economic benefit by the Adviser and therefore, a conflict of</p>

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Item of Form (identify)	Answer
<p><b>Item 13.A &amp; B (cont.)</b></p>	<p>interest between the Adviser and the client.</p> <p><b>Solicitors</b> The Adviser has entered into and in the future may enter into written contractual agreements (“Solicitation Agreements”) with affiliated and unaffiliated individuals and/or organizations (“Solicitors”) that solicit and refer clients to the Adviser. All Solicitation Agreements are made in writing and comply with the requirements of Rule 206(4)-3 under the Investment Advisers Act of 1940 that are applicable to each arrangement. While the specific terms of each solicitation agreement may differ, generally, a solicitor’s compensation is based upon the Adviser’s engagement of new clients that have been referred by the Solicitors and the retention of those clients and is calculated using a varying percentage interest in the fees paid to the Adviser by such clients. In addition, no portion of the fees paid to the Solicitors will be charged to clients and there is no difference in the level of advisory fees that is charged by the Adviser to clients that are not referred by Solicitors.</p>

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**Misc.**

Rev. September, 2010

**FACTS**

**WHAT DOES SIGNATURE RESOURCES CAPITAL MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?**

**Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- account balances and transaction history
- assets and risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

**How?**

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Signature Resources Capital Management, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Signature Resources Capital Management LLC share?	Can you limit this sharing?
For our everyday business purposes - as permitted by law	YES	NO
For our marketing purposes - to offer our products and services to you	NO	We Don't Share
For joint marketing with other financial companies	NO	We Don't Share
For our affiliates' everyday business purposes - information about your transactions and experiences	NO	We Don't Share
For our affiliates' everyday business purposes - information about your creditworthiness	NO	We Don't Share
For nonaffiliates to market to you	NO	We Don't Share

**Questions?**

Call (949) 794-0800 or go to [www.srcapitalmgmt.com](http://www.srcapitalmgmt.com).

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	<b>Page 2</b>
	<b>WHO WE ARE</b>
Who is providing this notice?	Signature Resources Capital Management, LLC
	<b>WHAT WE DO</b>
How does Signature Resources Capital Management, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Signature Resources Capital Management, LLC collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• seek advice about your investments</li> <li>• enter into an investment advisory contract</li> <li>• tell us about your investment or retirement portfolio</li> <li>• tell us about your investment or retirement earnings</li> <li>• give us your contact information</li> </ul> We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: <ul style="list-style-type: none"> <li>• sharing for affiliates' everyday business purposes - information about your creditworthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for nonaffiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing.
	<b>DEFINITIONS</b>
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>• <i>Signature Resources Capital Management, LLC does not share with our affiliates.</i></li> </ul>
<b>Nonaffiliates</b>	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> <li>• <i>Signature Resources Capital Management, LLC does not share with nonaffiliates so they can market to you.</i></li> </ul>
<b>Joint Marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>• <i>Signature Resources Capital Management, LLC doesn't jointly market.</i></li> </ul>

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